

## COMMERCIAL DOCUMENTS AND HEALTH CERTIFICATES

1. During transportation, a commercial document in accordance with the model set out in this Chapter, or, when required by this Regulation, a health certificate must accompany animal by-products and derived products.

However, such document or certificate shall not be necessary, provided that:

- (a) derived products from Category 3 material and organic fertilisers and soil improvers are supplied within the same Member State by retailers to final users other than business operators;
  - (b) milk, milk-based products and milk-derived products which are Category 3 materials are collected and returned to operators of milk-processing establishments, which have been approved in accordance with Article 4 of Regulation (EC) No 853/2004, if those operators are receiving products, in particular from their customers, which they have previously delivered;
  - (c) compound feeds as defined in Article 3(2)(h) of Regulation (EC) No 767/2009 which have been manufactured from animal by-products or from derived products, are placed on the market packaged and labelled in accordance with Article 4 of Regulation (EC) No 767/2009.
2. The commercial document must be produced at least in triplicate (one original and two copies). The original must accompany the consignment to its final destination. The receiver must retain it. The producer must retain one of the copies and the carrier the other.

Member States may require that proof of the arrival of the consignments is provided by the TRACES system or by a fourth copy of the commercial document which is sent back by the receiver to the producer.

3. Health certificates must be issued and signed by the competent authority.

4. A commercial document in accordance with the model set out under point 6 shall accompany animal by-products and derived products as from the starting point in the manufacturing chain referred to in Article 4(1) of Regulation (EC) No 1069/2009, during transportation within the Union.

However, in addition to the authorisation to transmit information by way of an alternative system as referred to in the second subparagraph of Article 21(3) of Regulation (EC) No 1069/2009, the competent authority may authorise that animal by-products and derived products which are transported on its territory are accompanied by:

- (a) a different commercial document, in paper or in electronic form, provided that such commercial document contains the information referred to in point (f) of the Notes under point 6 of this Chapter;
  - (b) a commercial document in which the quantity of the material is expressed in weight or volume of the material or in the number of packages.
5. Records and related commercial documents or health certificates shall be kept for a period of at least two years for presentation to the competent authority.

### 6. Model commercial document

#### Notes

(a) Commercial documents shall be produced, according to the layout of the model appearing in this Chapter.

It shall contain, in the numbered order that appears in the model, the attestations that are required for the transportation of animal by-products and derived products.

(b) It shall be drawn up in one of the official languages of the Member State of origin and of the Member State of destination, as appropriate.

However, it may also be drawn up in other official Union languages, if accompanied by an official translation or if previously agreed by the competent authority of the Member State of destination.

- (c) The original of each commercial document shall consist of a single sheet of paper, both sides, or, where more text is required it shall be in such a form that all sheets of paper needed are demonstrably part of an integrated whole and indivisible.
- (d) If for reasons of identification of the items of the consignment, additional sheets of paper are attached to the commercial document, these sheets of paper shall also be considered as forming part of the original document by the application of the signature of the person responsible for the consignment, on each of the pages.
- (e) When the commercial document, including additional sheets of paper referred to in point (d), comprises more than one page, each page shall be numbered – (page number) of (total number of pages) – at the bottom of the page and shall bear the code number of the document that has been designated by the responsible person at the top of the page.
- (f) The original of the commercial document must be completed and signed by the responsible person.

**The commercial document must specify:**

- (i) the date on which the material was taken from the premises;
- (ii) the description of the material, including
  - the identification of the material according to one of the categories referred to in Articles 8, 9 and 10 of Regulation (EC) No 1069/2009,
  - the animal species and the specific reference to the applicable point in Article 10 of Regulation (EC) No 1069/2009 for Category 3 material and products derived therefrom which are destined for feeding and,
  - if applicable, the ear-tag number of the animal;
- (iii) the quantity of the material, in volume, weight or number of packages;
- (iv) the place of origin of the material, from where the material is dispatched;
- (v) the name and the address of the carrier of the material;
- (vi) the name and the address of the receiver and, if applicable, its approval or registration number, which has been issued under Regulation (EC) No 1069/2009 or Regulations (EC) No 852/2004, (EC) No 853/2004 or (EC) No 183/2005, as applicable;
- (vii) if appropriate, the approval or registration number of the establishment or plant of origin, which has been issued under Regulation (EC) No 1069/2009 or Regulations (EC) No 852/2004, (EC) No 853/2004 or (EC) No 183/2005, as applicable, and the nature and the methods of the treatment.
- (g) The colour of the signature of the responsible person shall be different to that of the printing.
- (h) The document reference number and the local reference number shall only be issued once for the same consignment.

(c) the model of commercial document is replaced by the following:

**'Commercial document**

*For the transport within the European Union of animal by-products and derived products not intended for human consumption in accordance with Regulation (EC) No 1069/2009*

**EUROPEAN UNION**

**Commercial document**

<b>Part I: Details of dispatched consignment</b>	I.1. Consignor Name Address  Approval or registration number Postcode				I.2. Document reference No	I.2.a Local reference No		
					I.3. Central competent authority			
					I.4. Local competent authority			
	I.5. Consignee Name Address  Postcode Approval or registration number Tel.				I.6. Registered trader Name Registration number Address  Postcode Member State			
					I.7			
	I.8. Country of origin	ISO code	I.9. Region of origin	Code	I.10. Country of destination	ISO code	I.11. Region of destination	Code
	I.12. Place of origin  Establishment <input type="checkbox"/>  Name                      Approval or registration number Address  Postcode				I.13. Place of destination  Establishment <input type="checkbox"/>  Name                      Approval or registration number Address  Postcode			
	I.14. Place of loading				I.15. Date of departure			
	I.16. Means of transport  Aeroplane <input type="checkbox"/> Ship <input type="checkbox"/> Railway wagon <input type="checkbox"/>  Road vehicle <input type="checkbox"/> Other <input type="checkbox"/>  Identification:				I.17. Transporter  Name                      Approval or Registration number  Address  Postcode                      Member State			
	I.18. Description of commodity					I.19. Commodity code (CN code)		I.20. Total Quantity

I.21. Temperature of products Ambient <input type="checkbox"/> Chilled <input type="checkbox"/> Frozen <input type="checkbox"/> Controlled temperature <input type="checkbox"/>				I.22. Number of packages	
I.23. Seal number if a seal imposed by competent authority and the Container BIC ID number				I.24. Type of packaging	
I.25. Commodities certified for:  Animal feedingstuff <input type="checkbox"/> petfood use <input type="checkbox"/> Organic fertilisers/soil improvers <input type="checkbox"/> Technical use <input type="checkbox"/>  Consignment is subject to requirements laid down in Regulation (EC) No 999/2001. <input type="checkbox"/> Category 3 fish oil/fishmeal with excessive level(s) of dioxins and/or PCBs intended for detoxification according to Regulation (EU) 2015/786. <input type="checkbox"/>					
I.26.			I.27. Transit through Member States <input type="checkbox"/> Member State ISO code Member State ISO code Member State ISO code		
I.28. Export <input type="checkbox"/>  Third country ISO code Exit point Code			I.29.		
I.30.					
I.31. Identification of the commodities					
					Approval number of establishments
Species	Nature of commodity	Category	Treatment type	Manufacturing plant	Batch number

## COUNTRY

## Animal by-products/derived products not intended for human consumption

II. Health information	II.a. Certificate reference No	II.b.
<p data-bbox="127 548 159 772" style="writing-mode: vertical-rl; transform: rotate(180deg);">Part II: Declaration</p> <p data-bbox="167 313 215 347">II.1</p> <p data-bbox="279 313 574 347">Declaration by the consignor</p> <p data-bbox="279 369 606 403">I, the undersigned, declare that:</p> <p data-bbox="167 414 239 448">II.1.1.</p> <p data-bbox="279 414 718 448">the information in Part I is factually correct;</p> <p data-bbox="167 470 239 504">II.1.2.</p> <p data-bbox="279 470 1476 526">all precautions have been taken to avoid contamination of the animal by-products or derived products with pathogenic agents and cross-contamination between various categories.</p> <p data-bbox="167 548 239 582">Notes</p> <p data-bbox="167 604 239 638">Part I:</p> <ul style="list-style-type: none"> <li data-bbox="167 660 1476 716">— Box reference I.1: The legal or physical person ordering the transport indicated in the document required by the Convention relative au Contract de Transport International de Marchandises par Route (CMR).</li> <li data-bbox="167 739 1085 772">— Box reference I.5: The legal or physical person for which the consignment is destined.</li> <li data-bbox="167 795 1197 828">— Box reference I.6[optional, if appropriate]: Registered trader name, address, registration number.</li> <li data-bbox="167 851 638 884">— Box reference I.9 and I.11: if appropriate.</li> <li data-bbox="167 907 877 940">— Box reference I.12, I.13: approval number or registration number.</li> </ul> <p data-bbox="223 952 335 985">In case of:</p> <ul style="list-style-type: none"> <li data-bbox="223 1008 1476 1086">— products subject to Article 48(3) of Regulation (EC) No 1069/2009 only a storage plant, incineration or co-incineration plant registered in accordance with Article 23(1)(a); an establishment or plant approved in accordance with Article 24 of Regulation (EC) No 1069/2009 or in case of manure the authorised farm of destination;</li> <li data-bbox="223 1108 1476 1164">— fish oil or fishmeal of Category 3 intended for detoxification according to Regulation (EU) 2015/786 indicate the approval number of the plant of destination according to Regulation (EC) No 183/2005 or Regulation (EU) 2015/786.</li> </ul> <ul style="list-style-type: none"> <li data-bbox="167 1187 813 1220">— Box reference I.14: complete if different from I.1. and I.12.</li> <li data-bbox="167 1243 1476 1299">— Box reference I.17: registration or approval number of the actual transporter. If this is the same information as in Box I.6, use only box I.17.</li> <li data-bbox="167 1321 1476 1355">— Box reference I.23: in case of transport in container, the complete container identification number ("BIC code") is obligatory.</li> <li data-bbox="167 1377 1476 1433">— Box reference I.25: technical use: any use other than for animal consumption or organic fertilisers or soil improvers OF/SI. Technical products cannot be used in feed, petfood or OF/SI.</li> <li data-bbox="167 1456 414 1489">— Box reference I.31:</li> </ul> <p data-bbox="167 1500 335 1534">Animal species:</p> <p data-bbox="422 1500 1476 1601">For Category 3 material and products derived therefrom destined for use as feed material. Select from the following: Aves, Ruminants, Suidae, other Mammalia, Pesca, Mollusca, Crustacea, Insecta (species, if appropriate), other Invertebrates, Mixed non-ruminant species, Mixed species containing ruminants.</p> <p data-bbox="167 1635 391 1668">Nature of commodity:</p> <p data-bbox="422 1635 1476 1948">Enter a commodity chosen from the following list: "apiculture by-products", "blood products", "blood", "bloodmeal", "digestion residues", "digestive tract content", "dog-chews", "fishmeal", "flavouring innards", "gelatine", "greaves", "hides and skins", "hydrolysed proteins", "organic fertilisers/soil improvers", "pet food", "processed animal protein", "animal by-products for the production of pet food", "raw pet food", "rendered fats", "compost", "processed manure", "fish oil", "milk products", "colostrum products", "centrifuge or separator sludge from milk processing", "dicalciumphosphate", "tricalciumphosphate", "collagen", "egg products", "serum of equidae", "game trophies", "wool", "hair", "pig bristles", "feathers", "animal by-products for processing", "derived products", "meat-and-bone meal", "cadavers", "manure", "fat derivatives", "glycerine", "former food stuffs", "catering waste", "used cooking oil", "treated hides and skins", "growing media", "dead pet animals", "dead equidae", "former feed stuffs", "[nature of ABP or DP] mixed with non hazardous waste [EURAL code]", "eggs", "hatchery by products", "embryos in eggs or not".</p>		

COUNTRY

Animal by-products/derived products not intended for human consumption

II. Health information II.a. Certificate reference No II.b.

Category: Specify Categories 1, 2 or 3 materials. In case of Category 3 material intended for use as feedstuff, indicate the point of Article 10 of Regulation (EC) No 1069/2009 that refers to the animal by-product concerned (e.g. Article 10(a), Article 10(b) etc).

In the case of Category 3 material for use in raw petfood indicate "3a", "3b(i)" or "3b(ii)" depending on whether the animal by-products are referred to in Article 10(a) or in Article 10(b)(i) or (ii) of Regulation (EC) No 1069/2009.

In the case of hides and skins and products derived therefrom, indicate "3b(iii)" or "3(n)" depending on whether the animal by-products or derived products are referred to in Article 10(b)(iii) or in Article 10(n) of Regulation (EC) No 1069/2009.

Treatment type: For treated hides and skins indicate the treatment:

"(a)" for dried;

"(b)" for dry-salted or wet-salted for at least 14 days prior to dispatch;

"(c)" for salted for seven days in sea salt with the addition of 2 % sodium carbonate.

For Category 1 and 2 materials, describe the method of processing or transformation. Indicate the relevant processing method (choose a method from 1 to 5 referred to in Chapter III or an alternative method referred to Chapter IV of Annex IV to Regulation (EU) No 142/2011) or processing method for processed manure referred to in Annex XI thereof and indicate date of GTH marking as applicable.

For Category 3 materials destined for use in feed refer to the appropriate Section of Annex X to Regulation (EU) No 142/2011.

For derived products from Category 3 material destined for use in feed, indicate the relevant standard processing method (choose a method from 1 to 7 referred to in Chapter III of Annex IV to Regulation (EU) No 142/2011 in case of processed animal protein (PAP)), an alternative method referred to Chapter IV of Annex IV in case of ensilage, or describe the nature and the methods of treatment set out in Chapter II of Annex X to Regulation (EU) No 142/2011.

Fish oil or fishmeal for detoxification shall be labelled as "fish oil or fishmeal with excessive level(s) of dioxins and/or PCBs in accordance with Annex I to Directive 2002/32/EC destined for detoxification in an approved establishment"

Batch number: Enter batch number or ear tag number, if applicable.

Manufacturing plant: in the case of PAP and other feed materials indicate the processing plant

Part II:

— The signature must be in a different colour to that of the printing.

Signature

Done at ..... on .....

(place)

(date)

(signature of the responsible person of place of origin)

(name, in capital letters)